

FORM PTO-1390  
(REV 12-2004)U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK  
OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371****LCS-102/PCT/US**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5.)

**10/522843**INTERNATIONAL APPLICATION NO.  
**PCT/US2003/28270**INTERNATIONAL FILING DATE  
**10 SEPTEMBER 2003 (10.09.03)**PRIORITY DATE CLAIMED  
**11 SEPTEMBER 2002  
(11.09.02)****TITLE OF INVENTION****HIGH-GLOSS ROOM TEMPERATURE CURABLE WATER-BASED MOLD RELEASE AGENT****APPLICANT(S) FOR DO/EO/US****LU Zheng, SHANK, Gary K., HARMER, Tamara L.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the Inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included.**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return receipt postcard.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5.) <b>10/522843</b>		INTERNATIONAL APPLICATION NO. <b>PCT/US2003/28270</b>		ATTORNEY'S DOCKET NUMBER <b>LCS-102/PCT/US</b>	
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21. <input checked="" type="checkbox"/> The following fees are submitted: <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> a) Basic national fee.....\$300.00  <input checked="" type="checkbox"/> b) Examination fee.....\$200.00  <input checked="" type="checkbox"/> c) Search fee.....\$500.00  <b>TOTAL OF ABOVE CALCULATION.....\$1000.00</b> </div> <input type="checkbox"/> Additional fee for specification and drawings filed in paper of 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				<b>Applicant Use</b>	<b>Office Use Only</b>
				\$300.00	
				\$200.00	
				\$500.00	
				\$1,000.00	
				\$0	

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
22 - 100 =	/50 =		X \$250.00	\$0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	20 - 20 =		X \$50.00	\$0	
Independent claims	2 - 3 =		X \$200.00	\$0	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$360.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,000.00</b>	

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ --	
<b>SUBTOTAL =</b>				<b>\$1,000.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ --	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,000.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ --	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,000.00</b>	
Amount to be refunded:					\$
Amount to be charged:					\$

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. **12-2135** in the amount of \$ **1,000.00** to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to my Deposit Account No. **12-2135**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) ) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

STEVEN C. BAUMAN  
HENKEL CORPORATION  
1001 TROUT BROOK CROSSING  
ROCKY HILL, CT 06067 USA

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
NAME

\_\_\_\_\_  
REGISTRATION NUMBER

*Steven C. Bauman*

**STEVEN C. BAUMAN**

**33,832**

10/522843

LCS-102/PCT/US

DT12 Rec'd PCT/PTO 28 JAN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
Zheng, Lu et al. : ) Examiner: NYA  
Application No.: NYA : ) Group Art Unit: NYA  
Filed: Concurrently Herewith : ) Confirmation No.: NYA  
For: HIGH-GLOSS ROOM : )  
TEMPERATURE CURABLE : )  
WATER-BASED MOLD RELEASE : )  
AGENT : ) January 28, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**ATTORNEY/APPLICANT CHANGE OF ADDRESS**

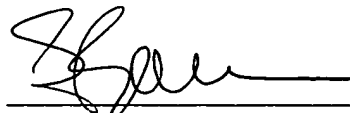
Sir:

Contrary to that which is requested in the Combined  
Declaration and Power of Attorney, please send all future  
correspondence for this application to the following address:

Steven C. Bauman  
HENKEL CORPORATION  
Legal Department  
1001 Trout Brook Crossing  
Rocky Hill, Connecticut 06067

Please direct all telephone calls and facsimile  
transmissions to the undersigned attorney at 860-571-5001 and  
860-571-5028, respectfully.

Respectfully submitted,



Steven C. Bauman  
Attorney for Applicants  
Registration No. 33,832